

# Polynomial-Time Reduction

Lecture 38  
Section 14.6

Robb T. Koether

Hampden-Sydney College

Fri, Dec 2, 2016

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC
- 5 Some Theorems
- 6 Assignment

# Outline

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC
- 5 Some Theorems
- 6 Assignment

# Polynomial-Time Reduction

## Definition (Polynomial-Time Reduction)

A language  $L_1$  is **reducible in polynomial time** to a language  $L_2$  if there is a deterministic Turing machine  $M$  that computes a function  $f: \Sigma^* \rightarrow \Sigma^*$  with the properties that

- For all  $w \in \Sigma^*$ ,  $w \in L_1$  if and only if  $f(w) \in L_2$ .
- For all  $w \in L_1$ ,  $M$  computes  $f(w)$  in polynomial time.

# Language of a Problem

## Definition (Language of a Problem)

Given a decision problem  $A$  and an encoding of instances of  $A$ , the **language of  $A$**  is the set of encodings of all instances of  $A$  for which the answer is “yes.”

- Thus, we can speak of a Turing machine *reducing* one decision problem to another decision problem in polynomial time.

# Language of a Problem

## Definition (Language of a Problem)

Given a decision problem  $A$  and an encoding of instances of  $A$ , the **language of  $A$**  is the set of encodings of all instances of  $A$  for which the answer is “yes.”

- Thus, we can speak of a Turing machine *reducing* one decision problem to another decision problem in polynomial time.
- For example,

$$L(\text{COMP}) = \{100, 110, 1000, 1001, 1010, 1100, \dots\}.$$

# Outline

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT**
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC
- 5 Some Theorems
- 6 Assignment

# The Decision Problem 3SAT

## Example (The Decision Problem 3SAT)

- The decision problem 3SAT is like the problem SAT except that each clause must contain exactly 3 literals (3CNF).
- Any instance of SAT may easily be converted into an instance of 3SAT in polynomial time.



# Reducing SAT to 3SAT

## Example (Reducing SAT to 3SAT)

- If a clause has only 1 or 2 literals, we add new literals as follows:

# Reducing SAT to 3SAT

## Example (Reducing SAT to 3SAT)

- If a clause has only 1 or 2 literals, we add new literals as follows:

$$x_1 \vee x_2 = (x_1 \vee x_2 \vee y) \wedge (x_1 \vee x_2 \vee \bar{y}),$$

# Reducing SAT to 3SAT

## Example (Reducing SAT to 3SAT)

- If a clause has only 1 or 2 literals, we add new literals as follows:

$$x_1 \vee x_2 = (x_1 \vee x_2 \vee y) \wedge (x_1 \vee x_2 \vee \bar{y}),$$

$$x_1 = (x_1 \vee y \vee z) \wedge (x_1 \vee y \vee \bar{z}) \wedge (x_1 \vee \bar{y} \vee z) \wedge (x_1 \vee \bar{y} \vee \bar{z}).$$

# Reducing SAT to 3SAT

## Example (Reducing SAT to 3SAT)

- If a clause has only 1 or 2 literals, we add new literals as follows:

$$x_1 \vee x_2 = (x_1 \vee x_2 \vee y) \wedge (x_1 \vee x_2 \vee \bar{y}),$$

$$x_1 = (x_1 \vee y \vee z) \wedge (x_1 \vee y \vee \bar{z}) \wedge (x_1 \vee \bar{y} \vee z) \wedge (x_1 \vee \bar{y} \vee \bar{z}).$$

- If a clause has more than 3 literals, we do something similar.

# Reducing SAT to 3SAT

## Example (Reducing SAT to 3SAT)

- If a clause has only 1 or 2 literals, we add new literals as follows:

$$x_1 \vee x_2 = (x_1 \vee x_2 \vee y) \wedge (x_1 \vee x_2 \vee \bar{y}),$$

$$x_1 = (x_1 \vee y \vee z) \wedge (x_1 \vee y \vee \bar{z}) \wedge (x_1 \vee \bar{y} \vee z) \wedge (x_1 \vee \bar{y} \vee \bar{z}).$$

- If a clause has more than 3 literals, we do something similar.
- For example,

$$x_1 \vee x_2 \vee x_3 \vee x_4 = (x_1 \vee x_2 \vee y) \wedge (x_3 \vee x_4 \vee \bar{y}).$$

# Outline

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ**
- 4 Reduction of CLIQ to VC
- 5 Some Theorems
- 6 Assignment

# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

- Let  $f$  be a Boolean expression in 3CNF.
  - Create a graph  $G$  by the following two steps.
- (1) For each clause, create a group of nodes labeled with the literals in that clause.

# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

- For example, let

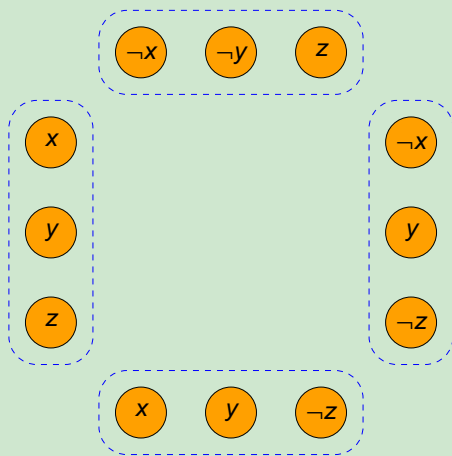
$$e = (x \vee y \vee z) \wedge (\neg x \vee \neg y \vee z) \wedge (\neg x \vee y \vee \neg z) \wedge (x \vee y \vee \neg z).$$

- Then there are four groups of three nodes each.



# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



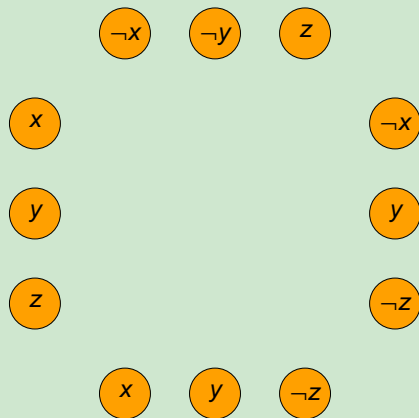
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

- (2) Connect each node in one group with every node in the other groups with which it is logically compatible.
- That is, for every variable  $x$ , connect  $x$  with everything except  $\neg x$  in every other clause.
  - Do this for each group.

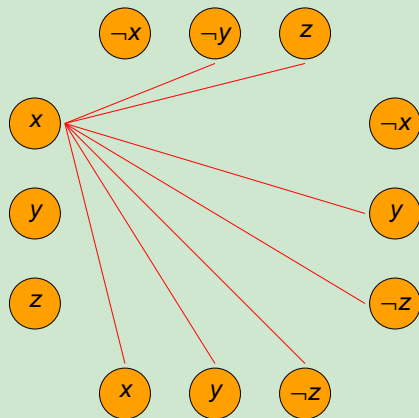
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



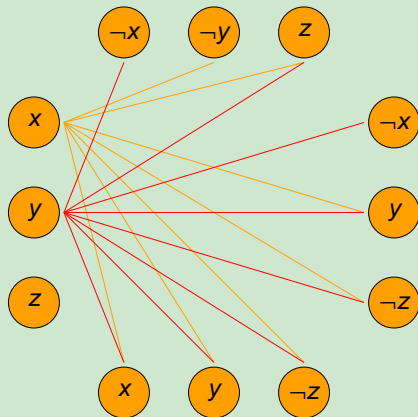
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



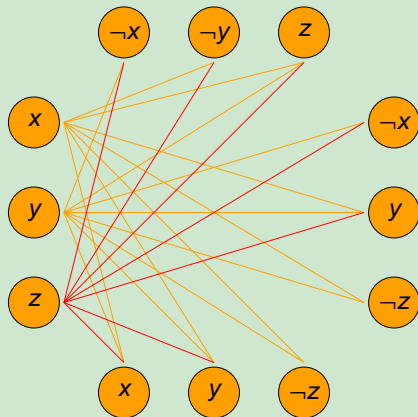
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



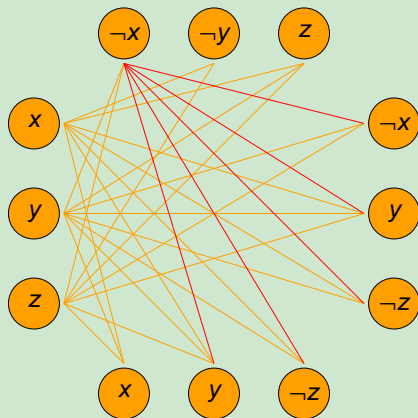
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



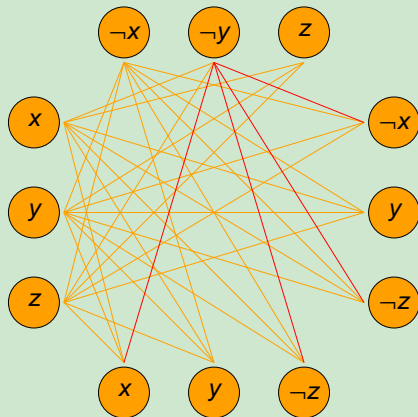
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



# Reduction of 3SAT to CLIQ

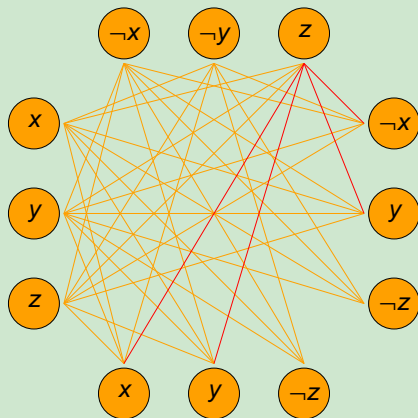
## Example (Reducing 3SAT to CLIQ)





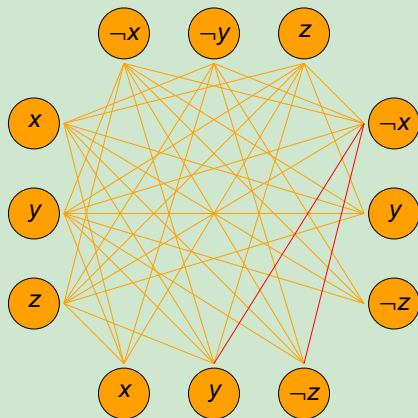
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



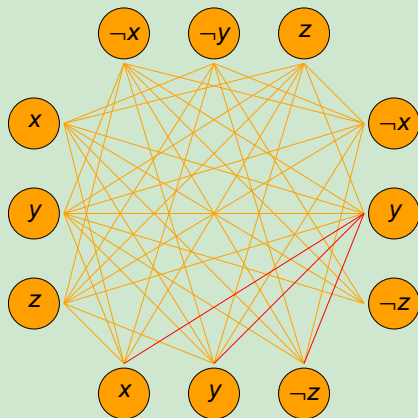
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



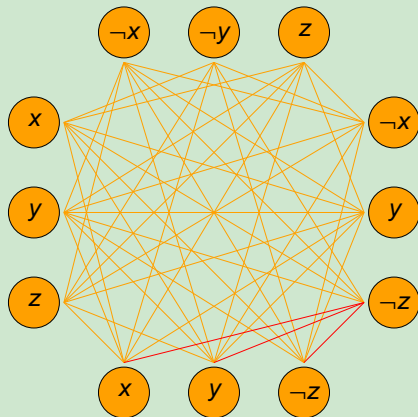
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



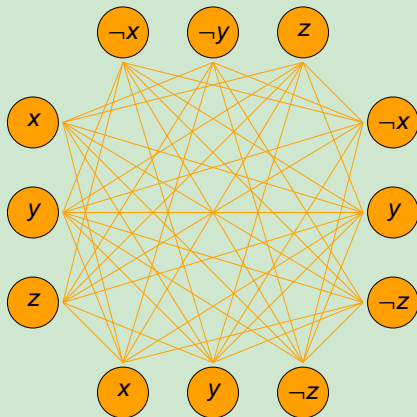
# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)



# Reduction of 3SAT to CLIQ

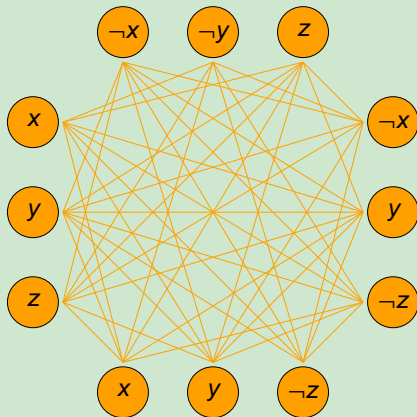
## Example (Reducing 3SAT to CLIQ)

- Let  $k$  be the number of clauses in the expression. ( $k = 4$ )
- We now ask, does the graph have a clique of size  $k$ ?

# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

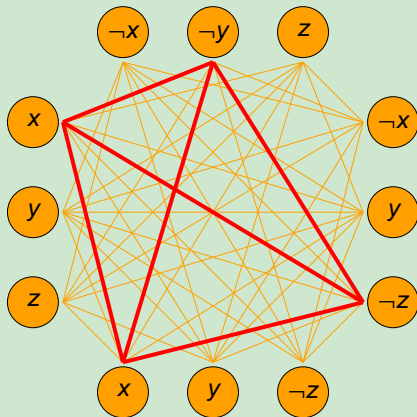
- Does this graph have a clique of size 4?



# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

- Yes it does, namely  $\{x, \neg y, \neg z\}$ .





# Reduction of 3SAT to CLIQ

## Example (Reducing 3SAT to CLIQ)

- This clique gives us values for  $x$ ,  $y$ , and  $z$  that will satisfy the expression.
- Namely,  $x$  is true,  $y$  is false, and  $z$  is false.
- This shows that “yes” to CLIQUE implies “yes” to 3SAT.
- It is also easy to see that “no” to CLIQUE implies “no” to 3SAT.
- It is also the case that this reduction can be done in polynomial time.

# Outline

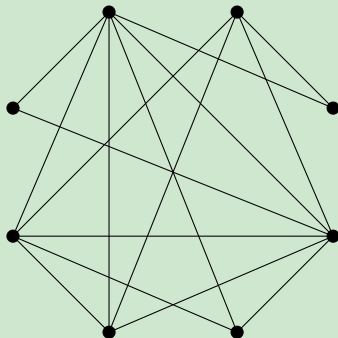
- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC**
- 5 Some Theorems
- 6 Assignment

## Example (Reduction of CLIQ to VC)

- Given a graph  $G$  and an integer  $k$ , we reduce the Vertex Cover Problem (VC) to CLIQ.
  - Let  $\overline{G}$  be the complementary graph.
  - That is,  $e$  is an edge of  $\overline{G}$  if and only if  $e$  is *not* an edge of  $G$ .
  - Let  $n$  be the number of vertices in  $G$ .
  - Then solve CLIQUE for  $\overline{G}$  and the integer  $n - k$ .

# Reduction of CLIQ to VC

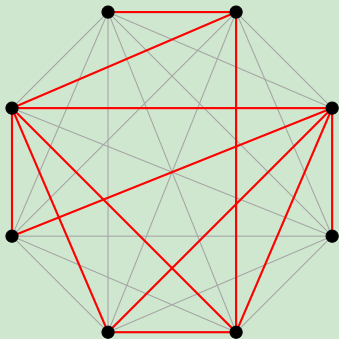
## Example (Reduction of CLIQ to VC)



Find a vertex cover of  $G$  of size  $k = 4$

# Reduction of CLIQ to VC

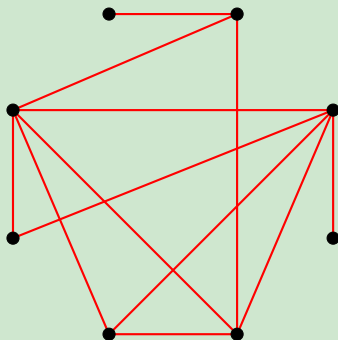
## Example (Reduction of CLIQ to VC)



Consider the complementary graph  $\overline{G}$  ( $O(n^2)$ )

# Reduction of CLIQ to VC

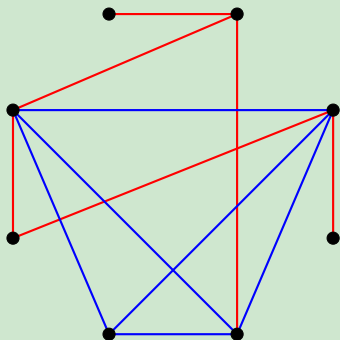
## Example (Reduction of CLIQ to VC)



Consider the complementary graph  $\bar{G}$

# Reduction of CLIQ to VC

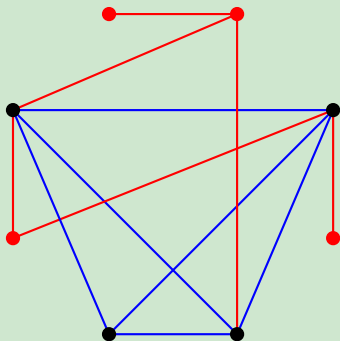
## Example (Reduction of CLIQ to VC)



Find a clique of  $\overline{G}$  of size  $n - k = 4$  ( $O(n^4)$ )

# Reduction of CLIQ to VC

## Example (Reduction of CLIQ to VC)

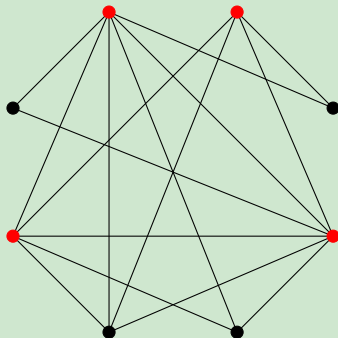


The complementary vertices the clique ( $O(n)$ )...



# Reduction of CLIQ to VC

## Example (Reduction of CLIQ to VC)



... form a vertex cover of  $G$  of size  $k = 4$

# Outline

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC
- 5 Some Theorems**
- 6 Assignment

# Some Theorems

## Theorem

*If a problem  $A$  is reducible in polynomial time to SAT, then  $A \in \mathbf{NP}$ .*

# Some Theorems

## Theorem

*If a problem  $A$  is reducible in polynomial time to SAT, then  $A \in \mathbf{NP}$ .*

## Theorem

*If a problem  $A$  can be reduced to SAT in polynomial time, then it can be reduced to 3SAT in polynomial time.*

# Some Theorems

## Theorem

*If a problem  $A$  is reducible in polynomial time to SAT, then  $A \in \mathbf{NP}$ .*

## Theorem

*If a problem  $A$  can be reduced to SAT in polynomial time, then it can be reduced to 3SAT in polynomial time.*

## Theorem

*If a problem  $A$  can be reduced to 3SAT in polynomial time, then it can be reduced to CLIQ in polynomial time.*

# Some Theorems

## Theorem

*If a problem  $A$  is reducible in polynomial time to SAT, then  $A \in \mathbf{NP}$ .*

## Theorem

*If a problem  $A$  can be reduced to SAT in polynomial time, then it can be reduced to 3SAT in polynomial time.*

## Theorem

*If a problem  $A$  can be reduced to 3SAT in polynomial time, then it can be reduced to CLIQ in polynomial time.*

## Theorem

*If a problem  $A$  can be reduced to CLIQ in polynomial time, then it can be reduced to VC in polynomial time.*

# Some Theorems

## Theorem

*The Vertex Cover Problem is in **NP**.*

## Proof.

- Let  $G$  be a graph with  $n$  vertices and let  $k$  be an integer.
- Generate a solution.
  - Nondeterministically, select a set  $C$  of vertices of size  $k$  ( $O(n)$ ).
- Verify the solution.
  - For each edge  $e$ , check whether  $e$  is incident to a vertex in  $C$  ( $O(n)$ ).
  - There are at most  $\frac{1}{2}n(n-1) \in O(n^2)$  edges in  $G$ , so this can be done in  $O(n^3)$  time.
- Therefore,  $VC \in \mathbf{NP}$ .



# Outline

- 1 Polynomial-Time Reduction
- 2 The Decision Problem 3SAT
- 3 Reduction of 3SAT to CLIQ
- 4 Reduction of CLIQ to VC
- 5 Some Theorems
- 6 Assignment**



# Assignment

## Homework

- Section 14.5 Exercises 3, 4, 5, 8.
- Section 14.6 Exercises 1, 4, 5.