

# Context-Free Grammars - Chomsky Normal Form

## Lecture 16 Section 2.1

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# Outline

Context-Free  
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New start symbol  
Eliminate all  $\varepsilon$ -rules  
Eliminate all unit  
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Eliminate all mixed  
rules  
Eliminate all long  
rules

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## Exercise 3, page 128.

Answer each part for the following context-free grammar  $G$ .

$$R \rightarrow XRX \mid S$$

$$S \rightarrow \mathbf{aTb} \mid \mathbf{bTa}$$

$$T \rightarrow XTX \mid X \mid \varepsilon$$

$$X \rightarrow \mathbf{a} \mid \mathbf{b}$$

- (a) What are the variables of  $G$ ?
- (b) What are the terminals of  $G$ ?
- (c) Which is the start variable of  $G$ ?

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## Solution

- (a) The variables are  $\{R, S, T, X\}$ .
- (b) The terminals are  $\{\mathbf{a}, \mathbf{b}\}$ .
- (c) The start symbol is  $R$ .

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## Exercise 3, page 128.

- (d) Give three strings in  $L(G)$ .
- (e) Give three strings *not* in  $L(G)$ .
- (f) True or False:  $T \Rightarrow \mathbf{aba}$ .
- (g) True or False:  $T \xRightarrow{*} \mathbf{aba}$ .
- (h) True or False:  $T \Rightarrow T$ .
- (i) True or False:  $T \xRightarrow{*} T$ .

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## Solution

(d) **ab, ba, aab**  $\in L(G)$ .

(e) **a, b,  $\epsilon$**   $\notin L(G)$ .

(f) False,  $T \not\Rightarrow$  **aba**.

(g) True,  $T \xRightarrow{*}$  **aba**.

(h) False,  $T \not\Rightarrow T$ .

(i) True,  $T \xRightarrow{*} T$ .

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## Exercise 3, page 128.

- (j) True or False:  $XXX \xRightarrow{*} \mathbf{aba}$ .
- (k) True or False:  $X \xRightarrow{*} \mathbf{aba}$ .
- (l) True or False:  $T \xRightarrow{*} XX$ .
- (m) True or False:  $T \xRightarrow{*} XXX$ .
- (n) True or False:  $S \xRightarrow{*} \varepsilon$ .

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## Solution

- (j) True,  $XXX \xRightarrow{*} \mathbf{aba}$ .
- (k) False,  $X \not\xRightarrow{*} \mathbf{aba}$ .
- (l) True,  $T \xRightarrow{*} XX$ .
- (m) True,  $T \xRightarrow{*} XXX$ .
- (n) False,  $S \not\xRightarrow{*} \epsilon$ .



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Exercise 3, page 128.

(o) Give a description in English of  $L(G)$ .

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## Solution

- (o) The  $R$  must eventually produce  $X^n S X^n$ , for some  $n \geq 0$ .
- (p) The  $S$  must produce either  $\mathbf{aTa}$  or  $\mathbf{bTa}$ .
- (q) The  $T$  must eventually produce  $X^m$ , for some  $m \geq 0$ .
- (r) Thus, so far,  $R$  produces  $X^n \mathbf{a} X^m \mathbf{b} X^n$  or  $X^n \mathbf{b} X^m \mathbf{a} X^n$ , for some  $m, n \geq 0$ .

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## Solution

- (o) Now  $X$  can be replaced with either **a** or **b**
- (p) Therefore,  $X^n$  and  $X^m$  can be any string in  $\Sigma^*$ .
- (q) Thus, the language is the set of all strings of the form  $uavbw$  or  $ubvaw$ , where  $u, v, w \in \Sigma^*$  and  $|u| = |w|$ .
- (r) It is not hard to see that this is the complement of the set  $\{ww^R \mid w \in \Sigma^*\}$ .

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## Definition (Chomsky Normal Form)

A grammar is in **Chomsky Normal Form**, abbreviated CNF, if each rule is of the form

- $A \rightarrow BC$ , or
- $A \rightarrow a$ ,

where  $B$  and  $C$  are nonterminals not equal to  $S$  and  $a$  is a terminal. Furthermore, the rule  $S \rightarrow \varepsilon$  is allowed.

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## Theorem (Chomsky Normal Form)

*Every context-free language is generated by a grammar in Chomsky Normal Form.*

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## Outline of proof.

Begin with a grammar for the context-free language.

- Add a new start symbol  $S_0$ .
- Eliminate all  $\epsilon$ -rules  $A \rightarrow \epsilon$ .
- Eliminate all unit rules  $A \rightarrow B$ .
- Eliminate all mixed rules.
- Eliminate all long rules.



# New Start Symbol

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Proof (New start symbol  $S_0$ ).

- Add the rule  $S_0 \rightarrow S$ .



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## Example (New start symbol $S_0$ )

- Start with the grammar

$$S \rightarrow SXS \mid \epsilon$$

$$X \rightarrow \mathbf{ab} \mid \epsilon$$

- Add the rule

$$S_0 \rightarrow S$$



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## Example (New start symbol $S_0$ )

- We now have the grammar

$$S_0 \rightarrow S$$

$$S \rightarrow SXS \mid \epsilon$$

$$X \rightarrow \mathbf{ab} \mid \epsilon$$

# Eliminate All $\varepsilon$ -Rules

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## Proof (Eliminate all $\varepsilon$ -rules).

- For each rule  $A \rightarrow \varepsilon$  and each rule  $B \rightarrow uAv$  (with  $A$  on the right), add a rule  $B \rightarrow uv$ .
- Eliminate the rule  $A \rightarrow \varepsilon$ .



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## Example (Eliminate all $\varepsilon$ -rules)

- Apply the rules  $S \rightarrow \varepsilon$  and  $X \rightarrow \varepsilon$  to the other rules, creating the rules

$$S_0 \rightarrow \varepsilon$$

$$S \rightarrow X$$

$$S \rightarrow SS$$

$$S \rightarrow XS$$

$$S \rightarrow SX$$

$$S \rightarrow S$$

(Don't bother keeping the last rule.)

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## Example (Eliminate all $\varepsilon$ -rules)

- Eliminate the rules

$$S \rightarrow \varepsilon$$

$$X \rightarrow \varepsilon$$

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## Example (Eliminate all $\varepsilon$ -rules)

- We now have

$$S_0 \rightarrow S \mid \varepsilon$$

$$S \rightarrow SXS \mid SS \mid SX \mid XS \mid X$$

$$X \rightarrow \mathbf{ab}$$

# Eliminate All Unit Rules

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## Proof (Eliminate all unit rules).

- If  $A \rightarrow B$  and  $B \rightarrow u$  are rules, then add the rule  $A \rightarrow u$ .
- Eliminate the rule  $A \rightarrow B$ .



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## Example (Eliminate all unit rules)

- Add the rules

$$S_0 \rightarrow SXS \mid SS \mid SX \mid XS \mid X \mid \mathbf{ab}$$

$$S \rightarrow \mathbf{ab}$$

- Eliminate the rules

$$S_0 \rightarrow S$$

$$S \rightarrow X$$

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## Example (Eliminate all unit rules)

- We now have

$$S_0 \rightarrow SXS \mid SS \mid SX \mid XS \mid \mathbf{ab} \mid \epsilon$$

$$S \rightarrow SXS \mid SS \mid SX \mid XS \mid \mathbf{ab}$$

$$X \rightarrow \mathbf{ab}$$



# Eliminate All Mixed Rules

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## Definition (Mixed rule)

A **mixed rule** is a rule whose right-hand side has length at least 2 and contains at least one terminal.

## Proof (Eliminate all mixed rules).

- Add rules

$$A \rightarrow a$$

for all terminals  $a$  appearing in mixed rules.

- Replace  $a$  with  $A$  in those rules.



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## Example (Eliminate all mixed rules)

- Add the rules

$$A \rightarrow \mathbf{a}$$

$$B \rightarrow \mathbf{b}$$

- Replace the string **ab** with  $AB$ .

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## Example (Eliminate all mixed rules)

- We now have

$$S_0 \rightarrow SXS \mid SS \mid SX \mid XS \mid AB \mid \epsilon$$

$$S \rightarrow SXS \mid SS \mid SX \mid XS \mid AB$$

$$X \rightarrow AB$$

$$A \rightarrow \mathbf{a}$$

$$B \rightarrow \mathbf{b}$$

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## Definition (Mixed rule)

A **long rule** is a rule whose right-hand side has length at least 3.

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Proof (Eliminate all long rules).

- Replace the long rules

$$A \rightarrow B_1 B_2 \dots B_k, (k \geq 3)$$

with

$$A \rightarrow B_1 C_1$$

$$C_1 \rightarrow B_2 C_2$$

$$C_2 \rightarrow B_3 C_3$$

$$\vdots$$

$$C_{k-2} \rightarrow B_{k-2} C_{k-2}$$

$$C_{k-1} \rightarrow B_{k-1} B_k$$



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## Example (Eliminate all long rules)

- Replace

$$S_0 \rightarrow SXS$$

$$S \rightarrow SXS$$

with

$$S_0 \rightarrow SY$$

$$S \rightarrow SY$$

$$Y \rightarrow XS$$

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## Example (Eliminate all long rules)

- The final result is

$$S_0 \rightarrow SY \mid SS \mid SX \mid XS \mid AB \mid \epsilon$$

$$S \rightarrow SY \mid SS \mid SX \mid XS \mid AB$$

$$X \rightarrow AB$$

$$Y \rightarrow XS$$

$$A \rightarrow \mathbf{a}$$

$$B \rightarrow \mathbf{b}$$

which is in Chomsky Normal Form.

# A Derivation in CNF

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## Example (A CNF derivation)

- Use this grammar in CNF to derive the string **ababab**.

$$\begin{aligned} S_0 &\Rightarrow SY \\ &\Rightarrow SXS \\ &\Rightarrow ABXS \\ &\Rightarrow ABABS \\ &\Rightarrow ABABAB \end{aligned}$$



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## Example (A CNF derivation)

$\vdots$   
 $\Rightarrow$  **aBABAB**  
 $\Rightarrow$  **abABAB**  
 $\Rightarrow$  **abaBAB**  
 $\Rightarrow$  **ababAB**  
 $\Rightarrow$  **ababaB**  
 $\Rightarrow$  **ababab.**

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## Theorem

*If a grammar  $G$  is in CNF and a string  $w$  in  $L(G)$  has length  $n$ , then  $w$  is derived from  $G$  in exactly  $2n - 1$  steps.*

# The Membership Problem for CFGs

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## Definition (The Membership Problem for CFGs)

Given a CFG  $G$  and a string  $w$ , can  $w$  be derived from  $G$ ?

- The previous theorem allows us to solve the Membership Problem.

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## Example (The Membership Problem for CFGs)

- Show that the string **abba** is *not* derivable from the grammar of the previous example.
- Draw a tree of all possible derivations of strings up to length 4.
- This will involve up to 7 steps (but no more).

# Example

Context-Free  
Grammars -  
Chomsky  
Normal Form

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Koether

Homework  
Review

Chomsky  
Normal Form

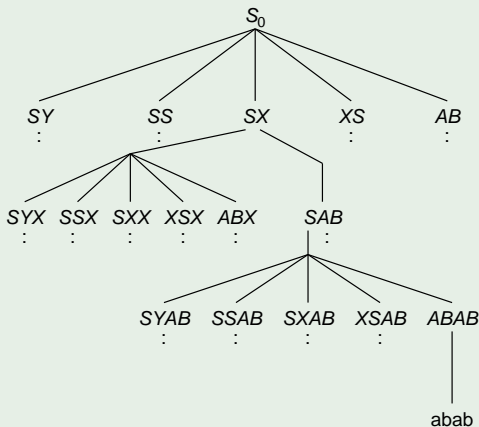
New start symbol  
Eliminate all  $\epsilon$ -rules  
Eliminate all unit  
rules  
Eliminate all mixed  
rules  
Eliminate all long  
rules

Derivations in  
CNF

The  
Membership  
Problem for  
CFGs

Assignment

## Example (The Membership Problem for CFGs)



# Example

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New start symbol  
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Eliminate all unit  
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rules  
Eliminate all long  
rules

Derivations in  
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## Example (The Membership Problem for CFGs)

- Put the grammar

$$E \rightarrow E + E \mid E * E \mid (E) \mid \mathbf{a} \mid \mathbf{b} \mid \mathbf{c}$$

into CNF.

- Show that the string `c++` is not derivable from it.

# Assignment

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Review

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Normal Form

New start symbol  
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Derivations in  
CNF

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CFGs

Assignment

## Homework

- Read Section 2.1, pages 106 - 109.
- Exercise 14, page 129.